

Docket No. 271672US2PCT



IPW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Shigeki SAKAI

SERIAL NO: 10/533,810

GAU: 1762

FILED: September 8, 2005

EXAMINER:

FOR: METHOD OF FORMING FILM UPON A SUBSTRATE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the reference(s) cited in the attached Korean Office Action and listed on the attached form PTO-1449. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available Unedited Computer-Generated English translations of pertinent portions of any non-English language reference(s). The Korean Office Action submitted herewith is being filed in the interest of full disclosure and is not a Statement of Relevancy.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
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**CERTIFICATION**


- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Marvin J. Spivak

Registration No. 24,913

Joseph Scafetta, Jr.  
Registration No. 26,803

Customer Number

**22850**

Tel. (703) 413-3000  
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(OSMMN 05/03)

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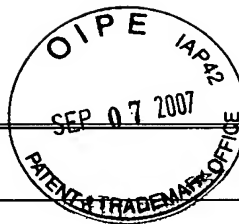
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| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br>271672US2PCT  |                       | SERIAL NO.<br>10/533,810   |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |    |  |          | APPLICANT<br>Shigeki SAKAI   |                       |  |                               |
|  |    |  |          | FILING DATE<br>September 8, 2005   |                       | GROUP<br>1762  |                               |
| U.S. PATENT DOCUMENTS  |    |  |          |  |                       |  |                               |
| EXAMINER<br>INITIAL  |    | DOCUMENT<br>NUMBER   | DATE     | NAME   | CLASS                 | SUB<br>CLASS   | FILING DATE<br>IF APPROPRIATE |
|  | AA |  |          |  |                       |  |                               |
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| FOREIGN PATENT DOCUMENTS   |    |  |          |  |                       |  |                               |
|  |    | DOCUMENT<br>NUMBER   | DATE     | COUNTRY  | TRANSLATION<br>YES NO |  |                               |
|  | AO | JP 2001-140059   | 5/22/201 | Japan (submitting Unedited Computer-Generated English Translation only; reference previously filed 5/5/2005) | x                     |  |                               |
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|  | AU |  |          |  |                       |  |                               |
|  | AV |  |          |  |                       |  |                               |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  |    |  |          |  |                       |  |                               |
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